

1 **Factors impacting unbound vancomycin concentrations in different patient populations**

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27 **Running title:** unbound vancomycin in different patient populations

28 **Keywords:** Protein binding, vancomycin, unbound concentrations, LC-MS/MS, Therapeutic Drug
29 Monitoring

30

31 **Abbreviations:**

32 MIC : Minimal Inhibitory Concentrations; TDM: Therapeutic Drug Monitoring; CKD-EPI : Chronic
33 Kidney Disease Epidemiology collaboration equation; ICU: Intensive Care Unit; IgM:
34 Immunoglobulin M; IgA: Immunoglobulin A; AAG: Alpha-1-Acid-Glycoprotein; PPB: Plasma
35 Protein Binding; HPLC: High Performance Liquid Chromatography; LC-MS/MS: Liquid
36 Chromatography-Tandem Mass Spectrometry; FPIA: Fluorescence Polarization Immunoassay; ME :
37 Matrix Effect; PETINIA: Particle Enhanced Turbidimetric Inhibition Immunoassay; NSAID: Non-
38 Steroidal Anti-Inflammatory Drugs; UV: Ultra Violet; LOQ: Limit of Quantification; IS: Internal
39 Standard; SD: Standard Deviation; SE: Standard Error

40 **Abstract**

41

42 **Introduction**

43 The unbound drug hypothesis states that only unbound drug concentrations are active and available for
44 clearance and highly variable results regarding unbound vancomycin fractions are reported in
45 literature. We determined unbound vancomycin fractions in four different patient groups using a
46 Liquid Chromatography Mass Spectrometry (LC-MS/MS) method and identified factors that modulate
47 vancomycin binding. We further developed and validated a prediction model to estimate unbound
48 vancomycin concentrations.

49

50 **Materials and methods**

51 Vancomycin (unbound and total) concentrations were measured in 90 patients from 4 different patient
52 wards (hematology (n=33 samples); intensive care unit (ICU) (n=51); orthopedic (n=44) and pediatrics
53 (6 months to 14 years, n=18)) using a validated LC-MS/MS method. Multiple linear mixed model
54 analysis was performed to identify patient variables that were predictive for unbound vancomycin
55 fractions and concentrations. Variables included in the model were age, patient ward, number of co-
56 administered drugs with high protein binding, kidney function (estimated glomerular filtration rate
57 (CDK-EPI formula)), alpha-1-acid-glycoprotein, albumin, total bilirubin, IgA, IgM, urea, and total
58 vancomycin concentrations.

59

60 **Results**

61 In the pediatric cohort, median unbound vancomycin fraction was 81.3% (range: 61.9-95.9%), which
62 was significantly higher ($p<0.01$) than the unbound fraction found in the three adult cohorts
63 (hematology (60.6% (48.7-90.6%)), ICU (61.7% (47.0-87.6%)) and orthopedic (56.4% (45.9-78.0%))
64 patients). The strongest significant predictor for unbound vancomycin concentration was the total
65 concentration, completed by albumin in the pediatric cohort, and albumin and IgA in the adult cohorts.
66 Validation of our model was performed in 13 adult patients. A mean difference of 0.3 mg/L (95%CI: -

67 1.3 - 0.7 mg/L; $R^2=0.99$ (95%CI: 0.95-0.99)) between measured and calculated unbound vancomycin
68 concentrations demonstrated the predictive performance of our model was favorable.

69

70 **Conclusion**

71 Unbound vancomycin fractions vary significantly between pediatric and adult patients. We developed
72 a formula to estimate the unbound fraction derived from total vancomycin, albumin and IgA
73 concentrations in adult patients.

74 1. Introduction

75

76 Vancomycin, a glycopeptide antibiotic, is widely used to treat infections caused by methicillin-
77 resistant *Staphylococcus aureus* and other β -lactam resistant gram positive cocci.^{1,2} The potential rise
78 in minimum inhibitory concentrations (MICs) of vancomycin makes it increasingly important to adjust
79 its dose in order to ensure adequate concentrations in blood and other infected areas as well as to avoid
80 undue toxicity.^{3,4} Generally, therapeutic drug monitoring (TDM) focuses on the total drug
81 concentration in human plasma or serum, although, it is hypothesized that only the “free” or
82 “unbound” fraction of the total concentration is responsible for antimicrobial activity, potential
83 toxicity and available for clearance.⁵⁻⁸

84 Vancomycin is generally considered as a moderately protein bound antibiotic (30-60%), with albumin
85 being an important binding protein.⁷ A protein binding of 50% is generally considered to calculate
86 unbound vancomycin concentrations. However, protein binding of vancomycin shows considerable
87 variability across studies (ranging from almost 0 up to 90%), which could lead to different clinical
88 responses even within the same total concentration.⁹⁻¹⁴ Unbound drug concentrations can vary among
89 patients (hematology, intensive care, pediatric, etc.) and underlying disorders (burn, myeloma, obese
90 patients), possibly resulting in different responses to therapy or toxicity as only the unbound drug
91 concentrations are considered pharmacologically active. Previous studies examining the correlation
92 between unbound and total vancomycin concentrations did not distinguish between different patient
93 populations.^{9,12,14} One study investigated only intensive care patients.¹⁰ Bertoin *et al* measured
94 unbound and total concentrations in three patients groups (i.e. hematology, intensive care and
95 orthopedic patients).¹¹ No differences in unbound concentrations between the three groups were
96 demonstrated, but the number of patients studied in the different groups was rather low.¹¹ Moreover,
97 none of the different studies investigated unbound and total concentrations in pediatric patients. Given
98 the different behavior of drugs in this patient group, it is of specific interest to measure total and
99 unbound concentrations in these patients.

100 In this study, we evaluated unbound vancomycin fractions in a larger cohort of different patient
101 populations and identified factors associated with unbound vancomycin concentrations using a
102 validated LC-MS/MS method for measurement.

103 **2. Materials and methods**

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105 **2.1. Method validation**

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107 *2.1.1. Vancomycin determination*

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109 Unbound vancomycin concentrations were determined using Centrifree Centrifugal Filter Devices
110 (Millipore, Billerica, US: MWCO 30.000). Briefly, fresh lithium-heparin plasma (600 μ L/sample)
111 samples were incubated in a capped Centrifree Device during 30 minutes, after which the device was
112 spun at 1912 *g* for 30 minutes at 37°C in a pre-conditioned Sigma 3-18K centrifuge (SciQuip, London,
113 UK). The unbound vancomycin concentration is the concentration measured in the ultrafiltrate.
114 Unbound as well as total vancomycin concentrations were determined using the chromatographic
115 conditions of a recently described method (within run imprecision: 2.5-5.2%; total imprecision: 2.6-
116 8.5%; limit of quantification: 0.3 mg/L).¹⁵ We further validated this method for determining unbound
117 vancomycin concentrations. The unbound vancomycin fraction (%) was calculated as ultrafiltrate
118 concentration/total vancomycin concentration x 100%.¹⁴

119

120 *2.1.2. Analytical validation of LC-MS/MS method for unbound vancomycin concentrations*

121

122 Method imprecision was evaluated by analysis of two randomly selected left-over patient samples
123 (mean unbound and total vancomycin concentrations: 3.0 and 12.2 mg/L; 4.3 and 18.6 mg/L,
124 respectively) on 10 consecutive runs on 10 different days.

125 Accuracy was performed by measuring unbound vancomycin concentrations in two spiked
126 ultrafiltrates (5 and 20 mg/L) in ten different runs. The percentage deviation from the theoretically
127 added vancomycin concentration was calculated. An accuracy of <15% was accepted.¹⁶

128 Extraction recovery of the ultrafiltrate was evaluated by comparing the peak areas of vancomycin
129 spiked in ultrafiltrate both before and after sample preparation (loss during extraction). The
130 ultrafiltrate was spiked with three concentrations, i.e. 5, 20 and 40 mg/L. Matrix effect (ME) was

131 evaluated by comparing the peak areas of vancomycin spiked with 5 and 20 mg/L in pure solvent
132 (water), with the peak areas of vancomycin spiked with 5 and 20 mg/L in ultra-filtrate of one blank
133 plasma sample (healthy volunteer) and two different patient left-over plasmas. The sample ME was
134 calculated with the equation $ME\% = B/A \times 100$, where B refers to the peak area of vancomycin
135 obtained in matrix and A to the peak area in solvent. Recovery of the UF membrane (adhesion of
136 vancomycin at the membrane) was assessed by measuring in triplicate spiked ultrafiltrate at three
137 different vancomycin concentrations (5, 15 and 30 mg/L) before and after filtration (second
138 ultrafiltration).

139

140 2.2. Correlation study

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142 2.2.1. Patient population

143

144 A retrospective study was conducted at the University Hospitals Leuven on left-over samples from
145 patients who received vancomycin for a suspected or proven gram-positive infection and required
146 TDM (routine total vancomycin) between April and June 2014. Unbound and total vancomycin
147 concentrations of patients admitted at the hematology, intensive care, orthopedic and pediatric
148 (patients aged between 6 months and 14 years) wards were measured. Eight patients received
149 continuous infusion, 82 patients were treated with intermittent infusion of vancomycin.

150

151 2.2.2. Data collection

152

153 The following baseline data were collected from the laboratory information system: age, gender,
154 underlying condition, diagnosis upon admission and underlying condition. Treatment details consisted
155 of vancomycin daily dose and route of administration, co-administered drugs at the day of sampling,
156 with special focus on those with high plasma protein binding (PPB) (above 70%), such as vitamin K
157 antagonists, aspirin, Non-Steroidal Anti-Inflammatory Drugs (NSAIDs), phenytoin and valproic
158 acid.¹⁷ To each different drug, a score of 1 was attributed. These summations were further included in
159 the multivariate analyses.

160 Biochemical findings at the day of sampling included albumin, bilirubin (direct and total), creatinine,
161 glomerular filtration rate as estimated by CKD-EPI, total protein, urea, IgA, IgM, alpha-1-acid-
162 glycoprotein (AAG) and total plasma vancomycin concentration. These biochemical data were
163 obtained from the laboratory information system from samples with identical sampling time as the
164 sample for total routine vancomycin measurement. If one of the biochemical parameters was missing,
165 an additional measurement was performed on left-over material (serum or lithium-heparin plasma)
166 with the same sampling time as the sample for vancomycin determination. All described biochemical
167 parameters can be measured on serum as well as on lithium-heparin plasma (evidenced by the

168 respective method insert sheets from the manufacturer). The study was approved by the Ethics
169 Committee of University Hospitals Leuven. As the study was performed on left-overs, informed
170 consent of each subject was hereby waived.

171 2.2.3. *Methods*

172

173 Left-over lithium-heparin plasma samples, sent to the clinical laboratory of the University Hospitals
174 Leuven for clinically indicated total vancomycin measurements, of different patient groups were
175 collected from the laboratory sample storage system (2-8°C) at the end of the working day. Samples
176 were centrifuged during 10 minutes at 1920 g (20°C). Only recent samples (same day) were selected.
177 Stability of vancomycin in plasma has been evidenced in these conditions and even for longer
178 periods.¹⁵ An aliquot was frozen for measurement of total vancomycin using LC-MS/MS (-20°C) and
179 another part was processed directly to obtain the unbound vancomycin fraction. Therefore, 600 µL
180 lithium-heparin plasma was centrifuged through a Centrifree UF device and stored at -20°C until
181 analysis. Unbound and total vancomycin concentrations were measured by LC-MS/MS.¹⁵ Albumin
182 (bromocresol), bilirubin (direct and total) (diazonium, colorimetric), creatinine (enzymatic IDMS
183 traceable), total protein (biurete) and urea (kinetic urease-glutamate dehydrogenase) concentrations
184 were determined on a Cobas 8000 c702 analyzer (Roche Diagnostics, Mannheim, Germany) in clinical
185 setting or on left-over lithium-heparin plasma or serum (left-over collected and processed as described
186 for vancomycin). IgA, IgM and AAG analyses were performed on an IMAGE nephelometer
187 (Beckmann Coulter, Brea, CA, USA).

188

189 2.2.4. *Statistical analysis*

190

191 Statistical analysis was performed using SPSS 22.0 for Windows (SPSS Inc 2011, Chicago, IL). A *p*-
192 value <0.05 was considered significant. Bland-Altman analysis, Passing & Bablok regression and
193 Spearman correlation coefficients were determined using Medcalc version 11.6.1.0. (Medcalc, Ostend,
194 Belgium). Data were described with means ± standard deviations (SD) or medians and IQR according
195 to the data distribution. Univariate correlations were investigated using scatterplots combined with
196 Spearman's rank correlation coefficients. Multivariate analysis was conducted using linear mixed
197 modeling with random intercept. Spearman's rank correlation coefficients were used instead of
198 Pearson correlation coefficients because no assumptions of linearity were made in advance. However,

199 because linear mixed models were used to create the prediction formula, Pearson correlation
200 coefficients could also be used in this analysis. Differences between different patients groups were
201 testing using a Mann-Whitney U test.

202 **2.3. Model validation**

203

204 The performance of the prediction model was assessed to determine the validity of the unbound
205 vancomycin estimate (on left-over samples). The study cohort for validation of the prediction tool
206 consisted of another 13 hospitalized adult patients of three different adult patient wards with a
207 clinically indicated vancomycin sample. Mean differences were calculated as the absolute difference
208 between calculated and measured unbound vancomycin concentrations, divided by the measured
209 concentration.

210 3. Results

211

212 3.1. Method validation

213

214 The resulted mean unbound vancomycin CVs were 3.0 and 4.4%, respectively. Accuracy ranged from
215 99.9 to 107.5 % for the different concentrations. The average extraction recovery was 98.9% (1.4%
216 CV) for unbound vancomycin. ME ranged from 61.8 to 67.7% and 49.0 to 71.4% for the 5 mg/L and
217 20 mg/L spiked ultrafiltrates, respectively. When the response ratios (RR, i.e. area vancomycin/area
218 internal standard (IS)) were calculated, ME ranged from 94.9 to 106.2% and 93.2 to 103.7% (5 and 20
219 mg/L, respectively) as compared to the RR in pure solvent, indicating acceptable compensation of the
220 IS. Non-specific adsorption of vancomycin to the Centrifree UF membrane was minimal and ranged
221 from -2.4 to 0.9%, depending on the concentration. The initial unbound vancomycin fraction was 65.8
222 and 60.7% before the samples were frozen, 64.2 and 58.3% after three days, and 65.2 and 59.4% after
223 one week thawing (mean total vancomycin concentrations 7.4; 16.5 and 22.8 mg/L). These results
224 indicate that the freeze-thaw process does not substantially alter the vancomycin PPB.

225

226 3.2. Correlation study

227

228 Table 1 displays baseline demographic, clinical and biochemical data of patients included in the study.
229 In the total population, median total vancomycin concentration was 13.5 mg/L (range: 0.7-53.2 mg/L),
230 median unbound vancomycin concentration was 8.5 mg/L (0.6-34.2 mg/L). The median unbound
231 vancomycin fraction was found to be 81.3% (range: 61.9-95.9%) in the pediatric cohort, which was
232 significantly higher compared to the fractions obtained in the three adult cohorts (hematology (60.6%
233 (48.7-90.6%)), ICU (61.7% (47.0-87.6%)) and orthopedic patients (56.4% (45.9-78.0%)) (Figure 1A)
234 (Mann Whitney U test). Except for the ICU population, no significant differences in total vancomycin
235 concentrations between the different populations were found (Mann Whitney U test) (Figure 1B).

236

237 In the entire population, unbound vancomycin concentrations correlated very strongly with total
238 vancomycin concentrations ($R = 0.96$; $p < 0.01$). Besides, a moderate correlation was found with urea
239 ($R = 0.42$; $p < 0.01$), albumin ($R = -0.31$, $p < 0.01$) and IgA ($R = -0.32$; $p < 0.01$). No correlation was seen
240 with age in the univariate analysis ($R = 0.13$; $p = 0.29$); or creatinine ($R = 0.21$; $p = 0.01$); IgM ($R =$
241 0.13 ; $p = 0.11$); AAG ($R = -0.11$; $p = 0.20$); or drugs with high protein binding ($R = 0.01$; $p = 0.93$). The
242 same correlations were observed in the individual different patient populations, except for the pediatric
243 cohort, in which no significant correlation with IgA was observed ($R = -0.22$, $p = 0.39$).

244 Multiple linear mixed model analysis revealed that the strongest significant predictor for unbound
245 vancomycin concentrations was the total concentration, completed by albumin in the pediatric cohort
246 and albumin and serum IgA in the adult cohorts. The strongest significant predictor for unbound
247 vancomycin concentration in the adult (i.e. hematology, ICU and orthopedic) and pediatric group was
248 the total concentration ($\beta = 0.626$; standard error (SE) = 0.013; $P < 0.001$ and $\beta = 0.806$; SE = 0.032;
249 $P < 0.001$; respectively). The R^2 of the final model for the adults and pediatric cohort were 0.952 and
250 0.866, respectively. Other variables found to be predictive for unbound vancomycin concentrations in
251 the adult cohort included albumin ($\beta = -0.162$; SE = 0.019; $P < 0.001$) and serum IgA ($\beta = -0.30$; SE =
252 0.049 ; $P < 0.001$). On contrary, in the pediatric cohort, only albumin was found to be predictive for
253 unbound vancomycin concentrations ($\beta = -0.212$; SE = 0.053; $P = 0.001$). No significant differences
254 between the adult cohorts were found, with the same variable retained in the formula describing
255 unbound vancomycin concentrations in the individual adult patient groups. The results of the multiple
256 linear mixed model analysis for the separated groups are summarized in table 2. Based on these
257 results, the unbound vancomycin concentration in adult and pediatric patients can be predicted with
258 the following equations:

259

260 Adults: Unbound VAN (mg/L) = 0.63 x total VAN (mg/L) - 0.30 IgA (g/L) - 0.16 HA (g/L) + 5.57

261

262 Pediatric: Unbound VAN (mg/L) = 0.81 x total VAN (mg/L) - 0.21 HA (g/L) + 6.34

263

264 were total VAN conc represents the total vancomycin concentration in mg/L, IgA the immunoglobulin
265 A concentration expressed in g/L and HA the human albumin concentration expressed in g/L.

266

267 Multiple linear mixed model analysis was also performed with bound vancomycin fraction (i.e. (total
268 vancomycin concentration (mg/L) – unbound vancomycin concentration (mg/L))/ (total vancomycin
269 concentration (mg/L))) as dependent variable. This analysis revealed the that the bound vancomycin
270 concentration can be predicted by the albumin concentration ($\beta = 1.826$; SE = 0.271; $P < 0.001$) in
271 the pediatric cohort and albumin ($\beta = 1.027$; SE = 0.076; $P < 0.001$) and IgA ($\beta = 1.717$; SE = 0.199;
272 $P < 0.001$) in the adult cohort. Total vancomycin concentration was not retained as independent
273 variable.

274

275 3.3. Model validation

276

277 Validation of the prediction model was evaluated in 13 adult patients. The median total vancomycin
278 concentration was 15.4 mg/L (range: 11.6 to 31.8 mg/L). IgA and albumin concentrations ranged from
279 0.5 to 5.8 g/L and 18.8 to 38.8 g/L, respectively. The mean unbound vancomycin concentration was
280 8.8 mg/L (5.1 to 17.3 mg/L). The observed versus predicted plots for the patients included in the
281 validation model are presented in figure 2. The R^2 was 0.99 (0.97-0.99), the mean difference was 0.2%
282 (-14.2 - 13.8%) (figure 2).

283 4. Discussion

284

285 Our study adds interesting information on the current understanding of factors associated with
286 unbound vancomycin concentrations. Compared to other studies, we examined relatively large patient
287 populations and we evaluated additional factors that are potentially associated with unbound
288 vancomycin concentrations and consequently vancomycin PPB, like IgA and total bilirubin
289 concentrations or drugs with a PPB >70% in a large cohort of patients from different patient wards.^{9,10,}
290 ^{12,14} Moreover, we were able to measure unbound vancomycin concentrations by means of an LC-
291 MS/MS method, validated for total as well as unbound concentrations, in contrast to other studies in
292 which immunoassays (Fluorescence Polarization Immunoassay Techniques (FPIA) or Particle
293 Enhanced Turbidimetric Inhibition Immunoassay (PETINIA)) or High Performance Liquid
294 Chromatography (HPLC) with Ultra Violet (UV) detection were used.⁹⁻¹⁴

295 Although these methods offer sufficient sensitivity and selectivity for clinical purposes, they were
296 developed and validated only for human plasma total drug concentrations. Also, it is well known that
297 some immunoassay methods are prone to interference by paraproteins or rheumatic factor,¹⁸ or can
298 cross-react with crystalline degradation products, which comprise inactive metabolites of vancomycin
299 found in plasma or serum samples from renally impaired and dialysis patients.¹⁹⁻²¹ As LC-MS/MS
300 methods are more specific, it is generally accepted that these methods are less likely to suffer from
301 these issues.²² Differences in unbound vancomycin concentrations between immunoassays and HPLC
302 were recently illustrated in a study of Cradon *et al*, in which unbound vancomycin concentrations were
303 measured by an FPIA and an in-house developed HPLC method.⁹ Although the clinical significance of
304 this difference remains debatable, they found a significantly lower and more variable PPB with the
305 FPIA compared to HPLC.⁹ Another study that evaluated PPB using HPLC and a PETINIA assay
306 found a good correlation between both methods for measurement of unbound and total vancomycin
307 concentrations. Of note, comparison with calculated PPB values were not reported.¹¹ Along with
308 analytical issues (differences in matrix between total and unbound drug concentrations), also pre-
309 analytical issues, like the conditions in which unbound vancomycin concentrations are obtained
310 (temperature, pH, centrifugation speed and time) can have a major impact on unbound vancomycin

311 concentrations. A recent study described that the unbound vancomycin fraction after ultrafiltration at
312 4°C was on average 30.6% lower than after ultrafiltration at 37°C. Moreover, ultrafiltration at 37°C
313 resulted in unbound vancomycin concentrations equivalent with equilibrium dialysis, which is
314 considered as the gold standard for measuring unbound concentrations.¹⁴ However, the latter method
315 has the disadvantage that it needs several hours to reach equilibrium.²³ On the other hand,
316 ultrafiltration is a fast and easy to perform method, but has the disadvantage of potential adsorption to
317 the ultrafiltration filter. Here, we confirmed previous reports that non-specific adsorption of
318 vancomycin to the ultrafiltration filter is negligible and independent of the concentration.

319 We found a median unbound vancomycin fraction of 61.7% (range: 45.9-95.9%) using UF at 37°C.
320 These unbound vancomycin fractions are lower than those reported in the study by Stove *et al*, who
321 reported values of 74.4% (SD: 6.6%).¹⁴ These differences could possibly be attributable to the
322 different methods that were used for measuring unbound and total vancomycin concentrations
323 (immunoassay (FPIA) vs LC-MS/MS)). Secondly, vancomycin probably was measured in other
324 patient populations, who could receive other drugs or endogenous molecules that could attribute to a
325 different vancomycin protein binding.

326 The PPB characteristics of vancomycin have been studied in detail and found to be predominantly
327 related to albumin and IgA content.^{24,25} This is in line with our observations, as multiple linear mixed
328 model analysis revealed a statistically significant correlation between unbound vancomycin
329 concentrations and albumin and IgA in the total as well as separate adult datasets.

330 Our study clinically supports the results of other studies that have shown a negative correlation
331 between serum IgA and unbound vancomycin concentrations.^{14, 24-26} This is of particular interest in
332 IgA myeloma patients, or in populations with low IgA concentrations (like selective IgA deficient
333 patients, immunocompromised patients, etc.) as the high or low IgA concentrations will decrease or
334 increase the unbound vancomycin concentration, and hence will reduce or increase the time above the
335 MIC of unbound vancomycin, respectively.

336 We used a validated LC-MS/MS method for measuring total and unbound vancomycin concentrations.
337 In our previous paper, we compared 4 different immunoassays with our LC-MS/MS method.¹⁵ For
338 some assays, acceptable agreement was obtained, making our formula theoretically valid for total

339 vancomycin measurements with these assays. Commercially available immunoassays are however not
340 validated for measuring unbound vancomycin concentrations in ultrafiltrate matrix. For instance,
341 Cradon *et al* identified important differences in unbound vancomycin fractions between
342 immunoassays and an in-house HPLC method.⁹

343 Previous studies on vancomycin PPB only included adult patients, whereas we were able to measure
344 unbound vancomycin concentrations in a pediatric group. Although this group was rather small (11
345 patients), a significant difference in unbound vancomycin fractions was demonstrated compared to
346 adult patients. This could in part be explained by the fact that pediatric patients have lower serum IgA
347 concentrations.²⁸ Future studies in pediatric patients and neonates should confirm and further elucidate
348 the difference in pediatric patient groups.

349 We found a significant and strong relationship between the total and unbound vancomycin
350 concentration. This is similar to the results of other studies, which demonstrated that the unbound
351 vancomycin concentration is highly predictable by the total concentration.^{12,14} Compared to these
352 studies, we evaluated additional potential covariates, like drugs with protein binding >70%. Although
353 highly protein-bound drug are expected to be able to expel other drugs from albumin, thereby inducing
354 elevated unbound concentrations, no significant correlation between vancomycin PPB and the amount
355 of co-administered drugs with a PPB of more than 70% could be found in our study population.²⁸

356 Apart from a high PPB, drugs should have affinity for the same albumin binding places to expel
357 vancomycin from the protein.²⁹ Frequently administered drugs in our study included NSAIDs (PPB
358 >90%), aspirin (PPB > 99%), analgesics (PPB >95%) and vitamin K antagonists (PPB > 90%), drugs
359 especially known for their ability to expel drug from albumin.^{17,30} Future studies with vancomycin and
360 albumin and known concentrations of the displacing agents should clarify if co-administration of
361 highly protein binding drugs have an effect on unbound vancomycin concentrations.

362 In conclusion, we refined the current understanding of unbound vancomycin by measuring unbound
363 and total vancomycin concentrations in different patient populations by means of a validated LC-
364 MS/MS method. As we observed a significant correlation between total and unbound vancomycin
365 concentrations in the four patients populations, measurement of unbound vancomycin concentrations
366 seems to have no added value over measurements of total vancomycin concentrations. This implies

367 that dose changes based on unbound vancomycin concentrations would probably have been marginally
368 different from dose changes based on routinely measured total vancomycin concentrations, except for
369 patients with severe hypo- or hyperalbuminemia, IgA myeloma or IgA deficiency, etc. We further
370 developed and validated a formula based on IgA and albumin for adult patients to calculate the
371 unbound vancomycin concentration. Although our formula was developed using LC-MS/MS, we
372 expect the formula to be equally valid for immunoassays with a good agreement to our developed
373 method for total vancomycin measurement. Last, we observed a significantly higher unbound fraction
374 in the pediatric cohort. The clinical implications of this finding needs to be further examined.

375 **Acknowledgements**

376 We are grateful to Dr. Pharm K. Vanstraelen for the assistance with the statistical analysis of the data.
377 Karel Allegaert, Pieter Vermeersch (fundamental clinical investigatorship 1800214 N and 1842013 N,
378 respectively) and Steven Pauwels (Clinical fellowship 1700314N) are supported by the Fund for
379 Scientific Research, Flanders.

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460 **Table 1.** Demographics, clinical and biochemical characteristics at day of sampling of patients treated with vancomycin. Data are presented as median and
461 range.

	Intensive care	Hematology	Orthopedic	Pediatrics	Total
Number of patients	33	22	24	11	90
Age (years) (range)	59 (17-80)	63 (17-81)	66 (32-84)	3 (1-14)	61 (1-84)
Male / Female (number)	19/16	9/13	15/9	7/4	50/40
Number of samples	51	33	44	18	146
albumin (g/L)	28.4 (16.7-39.2)	29.4 (18.2-37.5)	35.0 (21.0-46.5)	28.9 (20.0-39.5)	31.4 (16.7-46.5)
IgA (g/L)	3.5 (0.2-6.8)	1.2 (0.7-12.3)	1.9 (0.4-7.15)	0.4 (0.01-1.0)	1.7 (0.0-12.3)
IgM (g/L)	0.8 (0.1-2.8)	0.3 (0.1-5.4)	0.5 (0.3-1.7)	0.5 (0.1-1.2)	0.5 (0.1-5.4)
AAG (g/L)	1.8 (0.4-3.9)	2.1 (0.8-3.0)	1.4 (0.4-2.5)	1.8 (1.0-3.9)	1.7 (0.4-3.9)
Total protein (g/L)	55.0 (38.0-90.0)	60.5 (45.0-83.0)	61.2 (49-89)	52.0 (46.0-67.0)	58.4 (38.0-90.0)
Total bilirubin (mg/dL)	0.68 (0.1-35.9)	0.24 (0.01-3.69)	0.12 (0.008-1.9)	0.19 (0.11-0.90)	0.2 (0.0-35.6)
urea (mg/dL)	80.0 (7.0-218)	27.0 (8.0-85.0)	34.0 (12-140)	9.5 (3.0-93.0)	33.0 (3.0-218.0)
creatinine (mg/dL)	1.3 (0.1-10.0)	0.6 (0.2-3.3)	1.0 (0.6-1.9)	0.2 (0.1-0.9)	0.8 (0.1-10.0)
eGFR (ml/min/1.73m ³) – CDK-EPI	64.9 (6.0 - >90)	>90 (14.1- >90)	79 (22.6- >90)	>90	>90 (6.4->90)
Total vancomycin concentration (mg/L)	17.8 (3.6-53.2)	11.8 (4.5-24.4)	13.0 (6.2-27.8)	10.7 (0.7-25.1)	13.5 (0.7-53.2)
Unbound vancomycin concentration (mg/L)	10.9 (2.3-34.2)	7.7 (2.2-20.8)	7.4 (3.2-16.1)	8.2 (.6-22.6)	8.5 (0.6-34.2)
Unbound vancomycin fraction (%)	61.7 (47.0-87.6)	60.6 (48.7-90.6)	56.4 (45.9-78.0)	81.3 (61.9-95.9)	61.7 (45.9-95.9)
Number of co-administered drugs with PPB >70%	7.0 (1.0-16.0)	8.0 (1-14)	6.0 (2.0-16.0)	4.0 (0.0-12.0)	6.0 (0.0-16.0)

462

463 **Table 2.** Overview of the prediction model parameters estimating unbound vancomycin

464 concentrations in the different patient populations. β : beta-coefficient; SE: Standard error

465

Variable	β^a	SE ^b	P
Hematology			
Total vancomycin (mg/L)	0.643	0.037	< 0.001
Albumin (g/L)	-0.222	0.035	< 0.001
Serum IgA (g/L)	-0.130	0.059	< 0.001
Coefficient	6.757		
Intensive Care			
Total vancomycin (mg/L)	0.637	0.020	< 0.001
Albumin (g/L)	-0.179	0.048	0.001
Serum IgA (g/L)	-0.334	0.113	< 0.001
Coefficient	6.269		
Orthopedic			
Total vancomycin (mg/L)	0.657	0.030	< 0.001
Albumin (g/L)	-0.117	0.029	< 0.001
Serum IgA (g/L)	-0.252	0.105	0.009
Coefficient	4.950		
Total adult population			
Total vancomycin (mg/L)	0.626	0.013	<0.001
Albumin (g/L)	-0.162	0.019	<0.001
Serum IgA (g/L)	-0.300	0.049	<0.001
Coefficient	5.573		
Pediatrics			
Total vancomycin (mg/L)	0.806	0.032	< 0.001
Albumin (g/L)	-0.212	0.053	0.001
Coefficient	6.340		

466 **Figure 1.** Box and whisker plot showing the distribution of unbound vancomycin fractions (**A**) and
467 total vancomycin concentrations (**B**) for the different patient populations.

468 The data are reported as boxes indicating the 10th, 25th, 50th (median), 75th and 90th percentiles of
469 vancomycin. The levels of statistical significance ($p < 0.05$, Mann Whitney U Test) are indicated in
470 the figure. Statistically non-significant differences are not indicated in the figure.

471

472 **Figure 2.** Validation of our prediction model. **Panel A.** Passing Bablok regression analysis of the
473 observed unbound vancomycin concentration and predicted unbound vancomycin concentration in 13
474 adult patients. **Panel B.** Bland-Altman analysis of measured unbound vancomycin concentration
475 plotted against predicted unbound vancomycin concentration. Horizontal dashed lines are drawn at the
476 mean difference (mg/L) and at the limits of agreement.



